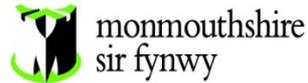


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NP15 1GA

County Hall  
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Wednesday, 11 March 2020

## Notice of Reports Received following Publication of Agenda.

### Audit Committee

Thursday, 19th March, 2020 at 2.00 pm,  
County Hall, The Rhadyr, Usk, NP15 1GA

Attached are reports that the committee will consider as part of the original agenda but were submitted to democratic services following publication of the agenda.

Item No	Item	Pages
10.	Forward Work Plan	1 - 6

**Paul Matthews**  
Chief Executive

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<b>AUDIT COMMITTEE FORWARD PLANNER 20 - 21</b>						
<b>Date of Meeting</b>	<b>Title</b>	<b>Description/Purpose</b>	<b>Ward Affected</b>	<b>Lead Officer</b>	<b>Report Type</b>	<b>Exempt?</b>
<b>11th June 2020</b>						
11.03.20	Annual Governance Statement review 2019-20	Provides overall assurance on the governance arrangements in place within MCC during financial year.		Andrew Wathan		
11.06.20	Internal Audit Section Outturn report 2019/20			Andrew Wathan		
11.06.20	Internal Audit Section Operational Plan 2020/21			Andrew Wathan		
11.06.20	WAO Certificate of Compliance for the Audit of Monmouthshire County Councils Improvement Plan			Richard Jones		
11.06.20	Draft Annual Governance Statement 2019/20			Andrew Wathan		
11.06.20	Draft Statement of Accounts report including Annual Governance Statement. Appendices include 1. Summary of accounts information 2. Statement of Accounts (prior to Audit) 3. MCC Welsh Church Fund Accounts			Mark/Jon Davies		
11.06.20	Certificate of Compliance for the Audit of Monmouthshire County			Emma Davies		

	Councils 2020-21 Improvement Plan					
<b>30th July 2020</b>						
30.07.20	Treasury Outturn report			Mark H		
30.07.20	20-21 Reserves Useage Forecast			Mark H		
30.07.20	Annual Improvement Report 2019/20			WAO/Emma Davies		
30.07.20	Implementation of Audit Recommendations			Andrew Wathan		
30.07.20	CPR Exemptions 6 monthly			Andrew Wathan		
30.07.20	Audited Statement of Accounts			Peter Davies		
30.07.20	ISA260 Response to Accounts			WAO/Peter Davies		
<b>3rd Sept 2020</b>						
03.09.20	Anti bribery risk assessment			Peter Davies		
03.09.20	Internal Audit Progress report			Andrew Wathan		
<b>15th Oct 2020</b>						

<b>26th Nov 2020</b>						
26.11.20	Quarter 2 update and Progress			Andrew Wathan		
26.11.20	Self Evaluation			Andrew Wathan		
26.11.20	Overview of Performance Management arrangements	To present an update on the current effectiveness of the Authority's performance management arrangements	Not Applicable	Performance Manager	Performance Review	
26.11.20	Mid Year Treasury Report	A mid year update to Members on the Authority's Treasury Management activities in the first 6 months of the year. The report will compare key measures in the first half of 19/20 to levels budgeted or forecast in the 2019/20 Treasury Strategy. As the Prudential code now covers non-treasury investments, the half year report will do also at a high level. Any recommendations due to variances or observations will be included.	All Wards	Jon Davies/Lesley Russell		No
26.11.20	Audited Trust fund Accounts (Welsh Church Fund/Mon Farms/Llanelli Hill)	Annual Report and Financial Statements for the year ended the 31 March 2019	Not Applicable	Dave Jarrett/Nikki Wellington		
26.11.20	ISA 260 or equivalent for Trust Funds			WAO		

<b>7th Jan 2021</b>						
07.01.21	Anti bribery Audit Training	To raise awareness and impact of the Bribery Act 2010: to provide Members and Officers sufficient information to avoid being accused of bribery and corruption in undertaking their duties for MCC.	Not applicable	Andrew Wathan/John McConnachie		
07.01.21	6 month update on unfavourable opinions - Internal Audit	At the conclusion of Internal Audit jobs an opinion on the adequacy of the internal control environment, governance and risk management processes is given. This report provides Audit Committee with an update of how services are progressing in order to demonstrate improvements		Andrew Wathan		
07.01.21	Internal Audit Progress report - quarter 3	This is a regular quarterly report which identified the performance of the IA team along with how well it is progressing against the agreed plan and the level of assurance it gives by way of opinions issued to service areas.		Andrew Wathan		
07.01.21	Treasury Policy and Strategy report 2020-21	This suite of documents includes the Treasury Policy, The Treasury Management Strategy, the Minimum Revenue Provision Policy & the Investment and Borrowing strategies for 2020/21. If approved the targets and limits included will be used to guide and	All Wards	Jon Davies/Lesley Russell		

		control the management of the Authority's treasury activities for the year and also non treasury Investment activity.				
<b>25th Mar 2021</b>						
25.03.21	Whole Authority Strategic Risk Assessment	To provide Audit Committee with an overview of the current strategic risks facing the authority in the Whole Authority Strategic Risk Assessment.		Richard Jones		
25.03.21	Annual Governance Statement review 2019-20	Provides overall assurance on the governance arrangements in place within MCC during financial year.		Andrew Wathan		
25.03.21	Annual Performance Review of Investment Committee			Deb Hill-Howells		

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