



Review and assess the risk management, internal control, performance assessment and corporate governance arrangements of the authority and make reports and recommendations to the authority on the adequacy and effectiveness of those arrangements

- To consider the draft annual self-assessment performance and recommend changes as required ahead of it being considered by Council.
- To consider the report of the (independent) panel performance assessment is also to be made available to the Governance & Audit Committee. A panel performance assessment is to take place at least once during the period between two consecutive ordinary elections of councillors to the Council

	20 June 22	14 July 22	8 Sept 22	13 Oct 22	24 Nov 22	26 Jan 23	16 Feb 23	30 Mar 23
Annual Governance Statement Chief Internal Auditor – Andrew Wathan	✓ Draft							
Freedom of Information (FOI) & Data Protection Act (DPA) Breaches & Data Subject Access Request (DSARs) Head of Information Security & Technology – Sian Hayward	✓					✓		
Self Assessment Process Performance & Data Insight Manager – Richard Jones	✓	✓ Draft Report						
Governance & Audit Committee Annual Report Chair of Governance & Audit Committee – Andrew Blackmore		✓						
Whole Authority Strategic Risk Assessment Performance & Data Insight Manager – Richard Jones			✓					

Anti Bribery Risk Assessment Deputy Chief Executive – Peter Davies				✓				
Audit Wales Work Programme: Council Progress Audit Wales Manager – Rachel Freitag				✓				✓
Annual Performance Review of Investment Committee Development Manager - Nick Keyse					✓			✓
Cyber security Head of Information Security & Technology – Sian Hayward						✓		
Review of the Committees Terms of Reference aligned to the Work Programme Chief Internal Auditor – Andrew Wathan						✓		
Feedback on Collaboration & Partnership arrangements Performance & Data Insight Manager – Richard Jones / Chief Internal Auditor – Andrew Wathan						✓		
Overview of Performance Management arrangements Performance & Data Insight Manager – Richard Jones							✓	

Effectiveness of Strategic Risk Management Framework Performance & Data Insight Manager – Richard Jones							✓	

Review and assess the authority's ability to handle complaints effectively make reports and recommendations in relation to the authority's ability to handle complaints effectively

	20 June 22	14 July 22	8 Sept 22	13 Oct 22	24 Nov 22	26 Jan 23	16 Feb 23	30 Mar 23
The Ombudsman's Annual Letter (2021/22) Customer Relations Manager – Annette Evans					✓			
Whole Authority annual complaints report Customer Relations Manager – Annette Evans							✓	

Oversee the authority's internal audit arrangements

	20 June 22	14 July 22	8 Sept 22	13 Oct 22	24 Nov 22	26 Jan 23	16 Feb 23	30 Mar 23
6 month update on unfavourable opinions Chief Internal Auditor – Andrew Wathan	✓				✓			
Internal Audit Operational Plan 2022/23	✓	✓						

Chief Internal Auditor – Andrew Wathan	Draft	Final						
Internal Audit Annual Report Chief Internal Auditor – Andrew Wathan		✓						
Internal Audit quarterly progress reports Chief Internal Auditor – Andrew Wathan			✓		✓		✓	
Head of Service Call-ins following IA reports Chief Internal Auditor – Andrew Wathan			✓					
Implementation of Internal Audit agreed recommendations Chief Internal Auditor – Andrew Wathan								✓
Internal Audit Plan for Shared Resource Service (SRS) – Torfaen CBC IA Team	✓							
Internal Audit Annual Report for Shared Resource Service (SRS) – Torfaen CBC IA Team	✓							
Internal Audit for Shared Resource Service (SRS) – Torfaen CBC IA Team – Unfavourable IA opinions								

Oversee the authority's external audit arrangements

	20 June 22	14 July 22	8 Sept 22	13 Oct 22	24 Nov 22	26 Jan 23	16 Feb 23	30 Mar 23
Audit Wales Work Programme Audit Wales Manager – Rachel Freitag	✓				✓			

Annual Audit Plan 22-23 Audit Wales Manager – Rachel Freitag	✓							✓
Annual Audit Plan 22-23 - Welsh Church Funds Audit Wales Manager – Rachel Freitag		✓						
Quarterly update and timetable Audit Wales Manager – Rachel Freitag			✓		✓			
Audit Wales Springing forward & Councils Management Response  Audit Wales Manager – Rachel Freitag / Performance & Data Insight Manager – Richard Jones			✓					
Assurance and Risk assessment review Audit Wales Manager – Rachel Freitag				✓				
Annual Grants report Audit Wales Manager – Rachel Freitag				✓				
ISA260 Response to Accounts  Audit Wales Manager – Rachel Freitag / Head of Finance – Jonathan Davies						✓		
ISA 260 or equivalent for Trust Funds Audit Wales Manager – Rachel Freitag /						✓		

Head of Finance – Jonathan Davies								
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