

**Governance and Audit Committee Action List**  
**13<sup>th</sup> October 2022**

| <b>Agenda Item:</b> | <b>Subject/ Meeting</b>  | <b>Officer</b>                | <b>Outcome</b>  | <b>By</b>  | <b>Action Status</b>   | <b>Recommended to close Action Yes/No</b>                                |
|---------------------|--|-------------------------------|---|--|--|--|
| 4                   | Action List<br>14 <sup>th</sup> July<br>2022                       | Richard Jones/ Peter Davies   | Provide GAC Members with a list of key collaborations and who audits  | 24 <sup>th</sup> November 2022   | OPEN   | Yes  |
| 4                   | Forward Work Plan<br><br>14 <sup>th</sup> July<br>2022             | Andrew Wathan/Chair           | Cross referencing reports with the Terms of Reference   | March 2023   | OPEN   | No   |
| 4                   | Internal Audit Outturn Report<br><br>14 <sup>th</sup> July<br>2022 | Andrew Wathan/Peter Davies    | <ol style="list-style-type: none"> <li>1. Invite service managers to next meeting re Limited Opinions: <ul style="list-style-type: none"> <li>• Concessionary Travel</li> <li>• Fleet (Health and Safety and Driver Management)</li> </ul> </li> <li>2. Provision of Fraud figures (not percentages)</li> </ol>   | <ol style="list-style-type: none"> <li>2. 24<sup>th</sup> November 2022</li> </ol> | <ol style="list-style-type: none"> <li>1. CLOSED</li> <li>2. OPEN</li> </ol> | <ol style="list-style-type: none"> <li>2. Yes</li> </ol>                 |
| 5                   | Statement of Accounts<br>8 <sup>th</sup> September<br>2022         | Jonathan Davies/ Peter Davies | <ol style="list-style-type: none"> <li>1. Amend the final version of the Statement of Accounts to reference significant occurrences nationally and globally and to explain abbreviations.</li> <li>2. 20mph speed limit scheme and funds availability for scheme reversal, the Deputy Chief Executive will discuss with Welsh Government if unspent funds are rolled forward or returned</li> </ol> | 24 <sup>th</sup> November 2022   | <ol style="list-style-type: none"> <li>1. OPEN</li> <li>2. OPEN</li> </ol>   | <ol style="list-style-type: none"> <li>1. Yes</li> <li>2. Yes</li> </ol> |

|    |  |   |  |                                |   |  |
|----|--|---|--|--------------------------------|---|--|
| 8  | Audit Wales: Springing Forward Report 8 <sup>th</sup> September 2022 | 1 & 2 Chair/Officers<br><br>3 Richard Jones | <ol style="list-style-type: none"> <li>1. Discuss Scrutiny arrangements for the people strategy and asset strategy</li> <li>2. The Chair will discuss interim key milestones and progress against them with officers and provide update at next meeting.</li> <li>3. Update on a completion date of the Corporate and Community Plan.</li> </ol>   | 24 <sup>th</sup> November 2022 | OPEN  | Yes  |
| 9  | Whole Authority Strategic Risk Assessment                            | Richard Jones                               | <ol style="list-style-type: none"> <li>1. Chair's preferred format for Risk Register <ul style="list-style-type: none"> <li>• key risks facing the organisation;</li> <li>• what actions are being taken;</li> <li>• what actions have been taken over the preceding period;</li> <li>• who is accountable;</li> <li>• actions completed and not completed (and why); and</li> <li>• key performance indicators.</li> </ul> </li> <li>2. Report on Authority's approach to Horizon-scanning</li> <li>3. Risk 13 (Housing) – reduction to medium by 2025 optimistic – convey comment to risk owner</li> </ol> | 24 <sup>th</sup> November 2022 | <ol style="list-style-type: none"> <li>1. OPEN</li> <li>2. OPEN</li> <li>3. OPEN</li> </ol> | <ol style="list-style-type: none"> <li>1. Yes</li> <li>2. Yes</li> <li>3. Yes</li> </ol> |
| 4. | Anti Bribery , Fraud and Corruption 13 <sup>th</sup> October 2022    | Sian Hayward                                | Management of Cyber Security - previous report to be circulated to committee members   | 24 <sup>th</sup> November 2022 | OPEN  | Yes  |
| 4. | Anti Bribery , Fraud and Corruption 13 <sup>th</sup> October 2022    | Peter Davies                                | Vetting of key staff – contact C. Prosser outside meeting  | 24 <sup>th</sup> November 2022 | Open  | Yes  |
| 4. | Anti Bribery , Fraud and Corruption                                  | Andrew Wathan/<br>Peter Davies              | All Members to be made aware of the route of referral for bribery, fraud and corruption concerns/  | 24 <sup>th</sup> November 2022 | OPEN  | Yes  |

