

# Governance and Audit Committee - Forward Work Plan 2021/2022

|                           |   |   |
|---------------------------|---|---|
| <b>1st July</b>           |   |   |
| 01.07.21                  | Annual Grants report  |   |
| 01.07.21                  | CPR Exemption update  |   |
| 01.07.21                  | Annual Governance Statement<br>(plus Statement review of<br>progress 20/21 b/f from 29.07.21) |   |
| 01.07.21                  | Information Breaches (twice<br>yearly)  |   |
| 01.07.21                  | Cyber report  |   |
| <b>29th July<br/>2021</b> |   |   |
| 29.07.21                  | Audit Wales Proposals for<br>Improvement Progress   | To provide an update on the authority's<br>progress against the Audit Wales Proposals<br>for Improvement issued to the Council.   |
| 29.07.21                  | MCC Draft Accounts  |   |
| 29.07.21                  | Audit Plan - Welsh Church<br>Accounts   |   |
| 29.07.21                  | Treasury Outturn report   |   |
| 29.07.21                  | Draft Statement of Accounts<br>2020/21 - Charitable Trust Funds                               |   |
|                           |   |   |
| <b>2ND SEPT<br/>2021</b>  |   |   |
| 02.09.21                  | Review of reserves and revised<br>reserves and capital receipts<br>policy                     |   |
| 02.09.21                  | Internal Audit Outturn report<br>2020/21  |   |
| 02.09.21                  | Internal Audit Plan 2021/22   |   |
| 02.09.21                  | Internal Audit Progress report -<br>quarter 1   | This is a regular quarterly report which<br>identified the performance of the IA team<br>along with how well it is progressing against<br>the agreed plan and the level of assurance it<br>gives by way of opinions issued to service<br>areas. |
| <b>7TH OCT<br/>2021</b>   |   |   |
| 07.10.21                  | Audited Statement of Accounts   |   |
| 07.10.21                  | ISA260 Response to Accounts   |   |
| 07.10.21                  | Anti bribery risk assessment  |   |

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| 07.10.21             | Mid Year Treasury Report  | A mid year update to Members on the Authority's Treasury Management activities in the first 6 months of the year. The report will compare key measures in the first half of 20/21 to levels budgeted or forecast in the 2020/21 Treasury Strategy. As the Prudential code now covers non- treasury investments, the half year report will do also at a high level. Any recommendations due to variances or observations will be included. |
| 07.10.21             | Implementation of Internal Audit Agreed Recommendations   |   |
| 07.10.21             | Overview of Performance Management arrangements   | To present an update on the current effectiveness of the Authority's performance management arrangements  |
| <b>25TH NOV 2021</b> |   |   |
| 25.11.21             | Audit Committee Self Evaluation - Verbal update   |   |
| 25.11.21             | Update on unfavourable Internal Audit Opinions  |   |
| 25.11.21             | Audit Wales Certificate of Compliance for the Audit of Monmouthshire County Councils Assessment of performance for 2020/1 |   |
| 25.11.21             | Audited Trust fund Accounts (Welsh Church Fund/Mon Farms)   | Annual Report and Financial Statements for the year ended the 31 March 2021   |
| 25.11.21             | ISA 260 or equivalent for Trust Funds   |   |
| 25.11.21             | Internal Audit Progress report - quarter 2  | This is a regular quarterly report which identified the performance of the IA team along with how well it is progressing against the agreed plan and the level of assurance it gives by way of opinions issued to service areas.  |
| 25.11.21             | Review of the Strategic Risk Register-6 monthly   |   |
|                      |   |   |
| <b>13TH JAN 2022</b> |   |   |
| 13.01.21             | Treasury Policy and Strategy report 2022-3  | This suite of documents includes the Treasury Policy, The Treasury Management Strategy, the Minimum Revenue Provision Policy & the Investment and Borrowing strategies for 2023/4. If approved the targets and limits   |

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|                      |   | included will be used to guide and control the management of the Authority's treasury activities for the year and also non treasury Investment activity.   |
| 13.01.22             | Recovery Planning - Assurance & Risk Assessment Feedback Letter |  |
| <b>17TH FEB 2022</b> |   |  |
| 17.02.22             | WAO Annual Audit Summary  |  |
| 17.02.22             | Whole Authority annual complaints report                        |  |
| 17.02.22             | Internal Audit Progress report - quarter 3                      | This is a regular quarterly report which identified the performance of the IA team along with how well it is progressing against the agreed plan and the level of assurance it gives by way of opinions issued to service areas.   |
| <b>31ST MAR 2022</b> |   |  |
| 31.03.22             | Whole Authority Strategic Risk Assessment                       | To provide Audit Committee with an overview of the current strategic risks facing the authority in the Whole Authority Strategic Risk Assessment.  |
| 31.03.22             | Annual Performance Review of Investment Committee               |  |
| 31.03.22             | 6 month update on unfavourable opinions - Internal Audit        | At the conclusion of Internal Audit jobs an opinion on the adequacy of the internal control environment, governance and risk management processes is given. This report provides Audit Committee with an update of how services are progressing in order to demonstrate improvements |
| 31.03.22             | Annual Audit 22-23  |  |
|                      |   |  |
| <b>May-22</b>        |   |  |
|                      | Annual Grants report  |  |
|                      | annual governance statement review 2020-1                       |  |